

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-19 12:30:30

2. Agency: 015

3. Bureau: 10

4. Name of this Investment: Automated Standard Application for Payments (ASAP)

5. Unique Project (Investment) Identifier: 015-10-01-14-01-1455-00

6. What kind of investment will this be in FY 2011?: Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

Consistent with FMS and Treasury missions to provide payment services, ASAP is a recipient-initiated electronic payment and information system used to make: Federal grant payments issued by FPAs; Financial Agent reimbursements for services performed on behalf of the Govt; and EBT disbursements to States. The FRB-Richmond, Treasury's Fiscal Agent (12 U.S.C. 391), develops and operates ASAP under FMS' direction. In 2008, ASAP had 10,000 users and made payments totaling \$480 Billion. ASAP supports FMS, Treasury, and user FPAs' abilities to exercise sound financial mgmt practices and controls. It does so by directly contributing to FMS' strategic goals 1 & 4 – "Timely, accurate and efficient disbursement of Federal payments" and "Timely and accurate financial information that contributes to the improved quality of financial decision making", and Treasury's strategic goal – "Effectively manage U.S. government finances." ASAP's primary function is to make payments electronically via ACH and Fedwire. Its real-time interface with these systems provides next-day and same-day payments to recipients and immediate financial information to recipients and FPAs enabling informed decisions. ASAP facilitates Treasury's ability to meet its financial obligations. It possesses payment mgmt features that ensure recipient draws are for immediate needs only, maximizing available assets. ASAP adds accuracy to cash position projections by providing immediate financial information. ASAP supports grant payment needs of FPAs through account mgmt features that address Federal cash mgmt regulations (CMIA 90, DCIA, PL 106-107). FPAs streamline the administration of grant payment processing using ASAP's business processes and cash mgmt functions. ASAP was selected by the CFO Council as one of two approved grant payment systems. Design efficiencies and robust functionality eliminate the need for redundant payment systems and associated duplicative costs. ASAP helps close performance gaps within Treasury – Payments Made Electronically, and Payments Made Accurately/On Time. One hundred percent of ASAP payments are made accurately, on time and electronic. FPAs using, or converting to, ASAP achieve these goals. ASAP management is dedicated to CPIC best practices, ensuring effective use of taxpayer funds. An EVMS is used to measure the project performance and eight certified PMs employ sound practices to manage development, production, and control activities.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
NONE											

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and on time (i.e., based on requested settlement date)	100% of Federal Agency (FA) approved ASAP EFT payments made accurately and on-time	Maintain 100% accuracy and timeliness	100% accurate and timely payments made through 4th Quarter 2007
2007	Effectively Managed U.S. Government Finances	*	*	Percent of ASAP Help Desk calls from the call queuing system responded to timely.	85% of Help Desk calls in the call queuing systems were answered within 60 seconds in FY 06.	Answer at least 90% of Help Desk calls in the call queuing system within 60 seconds.	84% of calls we answered within 60 seconds in 1st Quarter, 93% in 2nd the quarter, 93% in the 3rd quarter and 93% in the 4th quarter. The annual rate was 90.23% answered within 60 seconds.
2007	Effectively Managed U.S. Government Finances	*	*	Achieve FMS FTE salary savings through automation of Recipient Organization enrollment. Recipient Organization enrollment will move from an internal manual process to an external self-enrollment process using the Internet.	\$0 Cost Savings at the end of FY 2006.	Planned FTE cost savings of \$19,100	\$5,245 cost savings achieved through 1st Quarter 2007, \$16,446 cost savings achieved through 2nd Quarter 2007, \$19,214 cost savings achieved through 3rd Quarter 2007, \$22,322 cost savings achieved through 4th Quarter 2007.
2007	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of system availability to users (M-F, 08:00 - 23:59 Eastern Time)	System 100% available to users.	Maintain 100% system availability.	System available 99.9% of the time through 4th Quarter 2007.
2008	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and	100% of FA approved ASAP EFT payments made accurately and on-time	Maintain 100% accuracy and timeliness	100% accurate and timely payments made through 4th Quarter FY2008.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				on time (i.e., based on requested settlement date)			
2008	Effectively Managed U.S. Government Finances	*	*	Percent of ASAP Help Desk calls from the call queuing system responded to timely.	90.23% of Help Desk calls in the call queuing systems were answered within 60 seconds in FY 07.	Answer at least 90% of Help Desk calls in the call queuing system within 60 seconds.	90.73% of calls were answered within 60 seconds through the 4th Quarter of FY2008
2008	Effectively Managed U.S. Government Finances	*	*	Achieve FMS FTE salary savings through automation of Recipient Organization enrollment. Recipient Organization enrollment will move from an internal manual process to an external self-enrollment process using the Internet.	\$22,322 cost savings in FY2007	Planned FTE cost savings of \$24,701.	ASAP achieved cost savings of \$17,417 through the 4th Quarter FY2008.
2008	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of system availability to users (M-F, 08:00 - 23:59 Eastern Time)	System 99.9% available to users in FY2007.	Maintain 100% system availability.	System available 99.9% of the time through 4th Quarter FY2008.
2009	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and on time (i.e., based on requested settlement date)	100% of FA approved ASAP EFT payments made accurately and on-time	Maintain 100% accuracy and timeliness	100% accurate and timely payments made through 4th Quarter 2009
2009	Effectively Managed U.S. Government Finances	*	*	Percent of ASAP Help Desk calls from the call queuing system responded to timely.	90.73% of Help Desk calls in the call queuing systems were answered within 60 seconds in FY 08.	Answer at least 90% of Help Desk calls in the call queuing system within 60 seconds.	91.67% of calls were answered within 60 seconds through the 4th Quarter of FY2009
2009	Effectively Managed U.S. Government Finances	*	*	Achieve operational savings through decommissioning of obsolete and underutilized Voice Response System	\$0.00 cost savings at the end of FY 2008	Save \$106,789 in VRS related costs in FY 09.	Year to Date savings of \$105,537 achieved due to decommissioning of VRS system through the 4th Quarter of FY2009.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
(telephonic)							
2009	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of system availability to users (M-F, 08:00 - 23:59 Eastern Time)	System 100% available to users in FY 08.	Maintain 100% system availability.	System available 100% of the time through 4th Quarter FY2009.
2010	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and on time (i.e., based on requested settlement date)	100% of FA approved ASAP EFT payments made accurately and on-time in FY2009	Maintain 100% accuracy and timeliness	To be reported at the end of FY 2010
2010	Effectively Managed U.S. Government Finances	*	*	Percent of ASAP Help Desk calls from the call queuing system responded to timely.	91.67% of Help Desk calls in the call queuing systems were answered within 60 seconds in FY 2009.	Answer at least 90% of Help Desk calls in the call queuing system within 60 seconds.	To be reported at the end of FY 2010
2010	Effectively Managed U.S. Government Finances	*	*	Achieve operational savings through decommissioning of obsolete and underutilized Voice Response System (telephonic payment-request system).	ASAP achieved \$105,537 in cost savings in FY 2009.	Save \$123,610 in VRS related costs in FY 10.	To be reported at the end of FY 2010
2010	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of system availability to users (M-F, 08:00 - 23:59 Eastern Time)	System 100% available to users in FY2009.	Maintain 100% system availability.	To be reported at the end of FY 2010
2011	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and on time (i.e., based on requested settlement date)	To be established at the end of FY 10.	Maintain 100% accuracy and timeliness	To be reported at the end of FY 2011.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2011	Effectively Managed U.S. Government Finances	*	*	Percent of ASAP Help Desk calls from the call queuing system responded to timely.	To be established at the end of FY 10.	Answer at least 90% of Help Desk calls in the call queuing system within 60 seconds.	To be reported at the end of FY 2011.
2011	Effectively Managed U.S. Government Finances	*	*	Achieve operational savings through decommissioning of obsolete and underutilized Voice Response System (telephonic payment-request system).	To be established at the end of FY 10.	Save \$123,610 in VRS related costs in FY 11.	To be reported at the end of FY 2011.
2011	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of system availability to users (M-F, 08:00 - 23:59 Eastern Time)	To be established at the end of FY 10.	Maintain 100% system availability.	To be reported at the end of FY 2011.
2012	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and on time (i.e., based on requested settlement date)	To be established at the end of FY 11.	Maintain 100% accuracy and timeliness	To be reported at the end of FY 2012.
2012	Effectively Managed U.S. Government Finances	*	*	Percent of ASAP Help Desk calls from the call queuing system responded to timely.	To be established at the end of FY 11.	Answer at least 90% of Help Desk calls in the call queuing system within 60 seconds.	To be reported at the end of FY 2012.
2012	Effectively Managed U.S. Government Finances	*	*	Achieve operational savings through decommissioning of obsolete and underutilized Voice Response System (telephonic payment-request system).	To be established at the end of FY 11.	Save \$123,610 in VRS related costs in FY 12.	To be reported at the end of FY 2012.
2012	Effectively Managed U.S. Government Finances	*	*	Maintain percentage of system availability to users (M-F, 08:00 - 23:59 Eastern Time)	To be established at the end of FY 11.	Maintain 100% system availability.	To be reported at the end of FY 2012.

Table 1: Performance Information Table							
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY '06 Rel.2.7 Recipient Organization Self Enrollment Module	\$10.3	\$11.0	2004-01-01	2004-02-01	2006-06-26	2006-06-26	100.00%	100.00%
FY '06 Rel.2.8 PKI Security Enhancement for Digital Signatures	\$1.1	\$0.2	2004-03-10	2004-03-10	2006-08-25	2006-09-29	100.00%	100.00%
FY '06 Operations and Maintenance Contract Costs	\$6.7	\$6.6	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY '07 Rel.3.0 Enhancing Reports & Automating the Notification of Change Process	\$3.1	\$2.1	2005-04-01	2005-04-01	2006-11-18	2006-11-20	100.00%	100.00%
FY '07 Rel.4.0 FPA Self Enrollment Module & PKI Security Enhancements for eAuthentication	\$2.9	\$2.9	2005-06-01	2005-06-01	2007-04-30	2007-04-30	100.00%	100.00%
FY '07 Operations and Maintenance Contract Costs	\$7.9	\$8.3	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY '07 Department Of Education Conversion to ASAP, Part 1	\$1.0	\$1.0	2006-05-01	2006-05-01	2007-02-22	2007-02-22	100.00%	100.00%
FY '07 Department of Education Conversion to ASAP, Part 2	\$0.3	\$0.3	2006-10-01	2006-12-01	2007-02-22	2007-02-22	100.00%	100.00%
FY '08 Rel.5.0	\$0.3	\$3.0	2007-05-01	2007-04-16	2007-11-30	2007-12-15	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
ACH Debit Returns and Security								
FY '08 Rel. 6.0 - XML Gateway & Security Enhancement Phase 2	\$2.6	\$2.3	2007-10-01	2007-10-01	2008-04-30	2008-05-10	100.00%	100.00%
FY '08 Operations and Maintenance Cost	\$9.0	\$8.0	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY '09 Rel. 7.0 - Transfer RFC & CBAF MF Functions to ASAP.gov	\$3.4	\$2.6	2008-05-01	2008-05-01	2008-11-30	2008-11-28	100.00%	100.00%
FY '09 Rel. 8.0 - Integrate GWA EA Requirements	\$3.1	\$1.7	2008-10-01	2008-09-10	2009-04-30	2009-05-15	100.00%	100.00%
FY '09 Operations and Maintenance Costs	\$10.2	\$7.9	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY '10 Rel. 9.0 - Reports Module Software Replacement (Actuate to WebFOCUS)	\$3.6	\$4.4	2009-05-01	2009-04-01	2009-11-30		100.00%	87.00%
FY '10 Rel. 10.0 Enterprise Single Sign On (SSO) Migration	\$2.9	\$1.2	2009-10-01	2009-10-01	2010-04-30	2010-04-30	100.00%	100.00%
FY '10 Operations and Maintenance Costs (Part 1)	\$8.9	\$4.7	2009-10-01	2009-10-01	2010-04-30	2010-04-30	100.00%	100.00%
FY '11 - Migration of Database and Processes from FRIT to TWAI: Deploy CFDA Maintenance,	*	*	2011-04-01		2011-09-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
1031 Enrollment, Account Maintenance and Authorization								
FY '11 - Rel. 12.0 Implementation of TAS/BETC Accounting Codes ('Apply' Functionality)	*	*	2011-02-15		2011-09-09		0.00%	0.00%
FY '12 - Rel. 13.0 Implementation of TAS/BETC Accounting Codes ('Reporting' Functionality) &/or HSPD-12 Enhancements	*	*	2011-08-22		2012-03-30		0.00%	0.00%
FY '12 - Migration of Database and Processes from FRIT to TWA: Code and Deploy Reports, Settlement, and Reconciliation Functionalities	*	*	2011-10-01		2012-03-31		0.00%	0.00%
FY '10 - Migration of Database and Processes from FRIT to TWA: Define Requirements for CFDA Maintenance & 1031 Enrollment Functionalities	\$1.6	\$0.5	2010-05-01	2010-05-01	2010-09-30		33.30%	33.30%
FY '10 Operations and Maintenance Costs (Part 2)	\$3.3	\$1.2	2010-05-01	2010-05-01	2010-09-30		43.50%	43.50%
FY '11 - Rel.	*	*	2010-08-02		2011-03-04		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
11.0								
FY '11 - Migration of Database and Processes from FRIT to TWAI: Code and Shelve CFDA Maintenance, 1031 Enrollment, Account Maintenance and Authorization Functionalities	*	*	2010-10-01		2011-03-31		0.00%	0.00%
FY '11 Operations & Maintenance	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY '12 Operations & Maintenance	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY '13 - Rel. 14.0 Implementation of TAS/BETC Accounting Codes ('Reporting' Functionality) &/or HSPD-12 Enhancements	*	*	2012-03-12		2012-11-30		0.00%	0.00%
FY '12 - Migration of Database and Processes from FRIT to TWAI: Code and Deploy Accounts, RFC Profile Maintenance and ACH Payments Functionalities	*	*	2012-04-01		2012-09-30		0.00%	0.00%
FY '13 - Mainframe Migration 6	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY '13 Operations & Maintenance	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY '13 - Rel.	*	*	2012-11-13		2013-07-19		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
15.0 EA								
FY '14 - Rel. 16.0 EA Enhancements	*	*	2013-07-01		2014-02-14		0.00%	0.00%
FY '14 Operations & Maintenance	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY '14 - Rel. 17.0 EA Enhancements	*	*	2014-01-27		2014-08-29		0.00%	0.00%
FY '15 Operations & Maintenance	*	*	2014-10-01		2015-09-30		0.00%	0.00%
FY '16 Operations & Maintenance	*	*	2015-10-01		2016-09-30		0.00%	0.00%
FY '17 Operations & Maintenance	*	*	2016-10-01		2017-09-30		0.00%	0.00%
FY '18 Operations & Maintenance	*	*	2017-10-01		2018-09-30		0.00%	0.00%
FY '19 Operations & Maintenance	*	*	2018-10-01		2019-09-30		0.00%	0.00%
FY '20 Operations & Maintenance	*	*	2019-10-01		2020-09-30		0.00%	0.00%
FY '21 Operations & Maintenance	*	*	2020-10-01		2021-09-30		0.00%	0.00%

* - Indicates data is redacted.